



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#13/jrw
07.09.02

Applicants: James C. Engert, Marie-Claude Vohl, Carl Brewer, Kenneth
Morgan, Daniel Gaudet and Thomas J. Hudson
Application No.: 09/802,320 Group: 1632
Filed: March 8, 2001 Examiner: Not provided
For: Very Low Density Lipoprotein Receptor Polymorphisms and Uses
Therefor

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>5/31/02</u>	<u>K. Bastarache</u>
Date	Signature
Kathleen M. Bastarache	
Typed or printed name of person signing certificate	

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REQUEST FOR CORRECTED FILING RECEIPT
FOR UTILITY APPLICATION

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Sir:

We hereby request that the following items of information be corrected in the Filing Receipt for the subject application received in this office on April 8, 2002.

The errors and corrections appear below.

The filing fee noted on the Official Filing Receipt dated April 3, 2002 is incorrectly listed as \$1122. **The correct filing fee is \$2562.** The Reply to Notice to File Missing Parts was filed November 21, 2001 with a filing fee of \$2562.

jm

A spelling error in the name of one Assignee was also noted. The Official Filing Receipt states Complexe Hopitalier de la Sagamie. **The Assignee should be noted as Complexe Hospitalier de la Sagamie as listed on the Assignment and Recordation Form Cover Sheet filed February 14, 2002.**

Enclosed are copies of the Filing Receipt with changes noted in red. A copy of the Reply to Notice to File Missing Parts, Fee Transmittal, copy of cancelled check for \$2562 and a copy of the Recordation form are also enclosed.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Lisa M. Treannie

Lisa M. Treannie

Registration No.: 41,368

Telephone: (978) 341-0036

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Concord, MA 01742-9133

Date: 5/30/02

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Page 1 of 2

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 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/802,320	03/08/2001	1632	1122	2825.2001-001	6	15	6

Filing Fee rec'd should be \$2562

CONFIRMATION NO. 1435

21005

 HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
 530 VIRGINIA ROAD
 P.O. BOX 9133
 CONCORD, MA 01742-9133

UPDATED FILING RECEIPT



OC000000007771127

Date Mailed: 04/03/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)
 James Engert, Montreal, CANADA;
 Marie-Claude Vohl, Cap-Rouge, CANADA;
 Carl Brewer, Montreal, CANADA;
 Kenneth Morgan, Montreal, CANADA;
 Daniel Gaudet, Chicoutimi, CANADA;
 Thomas J. Hudson, Montreal, CANADA;
Assignment For Published Patent Application
 McGill University, Quebec, CANADA;
 Complexe Hospitalier de la Sagamie, Quebec, CANADA;

 Assignee should be Complexe Hospitalier de la Sagamie
 Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/187,787 03/08/2000

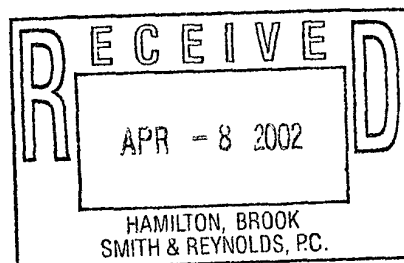
Foreign Applications

If Required, Foreign Filing License Granted 04/18/2001

Projected Publication Date: 07/11/2002

Non-Publication Request: No

Early Publication Request: No



Title

Very low density lipoprotein receptor polymorphisms and uses therefor

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James C. Engert, Marie-Claude Vohl, Carl Brewer, Kenneth
Morgan, Daniel Gaudet and Thomas J. Hudson
Application No.: 09/802,320 Group: 1632
Filed: March 8, 2001 Examiner: Not Assigned
For: Very Low Density Lipoprotein Receptor Polymorphisms and Uses Therefor



CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>11/21/01</u>	<u>Denise Canedo</u>
Date	Signature
<u>Denise Canedo</u>	
Typed or printed name of person signing certificate	

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REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated May 23, 2001, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

- [X] **EXECUTED DECLARATION/POWER OF ATTORNEY**
(Separate transmittal letter and postcard not required)
- [X] **FILING FEE** - with Fee Transmittal for Patent Applications in duplicate.
(Separate transmittal letter and postcard not required)
- [X] **SURCHARGE** - surcharge fee of \$130.00.
(Separate transmittal letter and postcard not required)
- [X] **SEQUENCE LISTING** - Filed concurrently and is attached.
(Separate transmittal letter and postcard required)

09/802,320

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☒ **TRANSMITTAL OF SUBSTITUTE DRAWINGS**

[6] Sheets of substitute drawings consisting of Figs. 1, 2A, 2B, 2C, 2D and 2E enclosed.

(Separate transmittal letter and postcard not required)

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☒ **PETITION FOR EXTENSION OF TIME**

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[X] Applicants hereby petition to extend the time to respond to the Notice to File Missing Parts dated May 23, 2001 for four months from July 23, 2001 to November 23, 2001. The appropriate fee of \$1440.00 is included in the enclosed check.

[] A [] month extension of time to respond to the Notice to File Missing Parts dated [] was filed on [] with payment of a \$[] fee.

[] Applicant hereby petitions for an additional [] month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$[] is included in the enclosed check.

(Separate Petition for Extension of Time and postcard not required)

[] **REQUEST FOR CORRECTED FILING RECEIPT** - Filed concurrently and is attached.

(Separate transmittal letter and postcard required)

[] **STATEMENT CLAIMING SMALL ENTITY STATUS**

[] Was filed on [].

[] Is enclosed herewith.

(Separate transmittal letter and postcard not required)

[] In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$[] which were paid on [] to be deposited in Deposit Account No. 08-0380.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$2562. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Lisa M. Treannie

Lisa M. Treannie

Registration No.: 41,368

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date:

11/21/01



HAI LTON, BROOK, SMITH & EYNOLDS, P.C.

COPY

FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	2825.2001-001
	Application Number	09/802,320
	First Named Inventor	James C. Engert

JUN 13 2002

CLAIM CALCULATION (includes any preliminary amendment)

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	15 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	6 - 3** =	3	x \$ 84 =	\$ 252
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 280 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 740
				Total of above Calculations =	\$ 992
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
	TOTAL =				\$ 992
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 130
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$ 1440
	Assignment Recordation Fee = (only when filed with application)				\$
* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 2562	

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$2562. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature	<i>Lisa M. Treannie</i>	Date	11/21/01
Submitted by Typed or Printed Name	Lisa M. Treannie	Reg. Number	41,368